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**Sixtieth session**
Agenda item 122

# Review of the efficiency of the administrative and financial functioning of the United Nations

## Report of the Fifth Committee

*Rapporteur*: Ms. Katja **Pehrman** (Finland)

## I. Introduction

1. The previous recommendations made by the Fifth Committee to the General Assembly under agenda item 122 appear in the report of the Committee contained in document A/60/609.

2. The Fifth Committee resumed its consideration of the item at its 37th and 46th meetings, on 6 March and 11 April 2006. Statements and observations made in the course of the Committee's consideration of the item are reflected in the relevant summary records (A/C.5/60/SR.37 and 46).

3. For its further consideration of this item, the Committee had before it a note by the Secretary-General on strengthening the investigation functions in the United Nations (A/60/674).

## II. Consideration of draft resolution A/C.5/60/L.34

4. At the 46th meeting, on 11 April 2006, the representative of Nigeria and coordinator of the informal consultations introduced, on behalf of the Chairman, a draft resolution entitled "Review of the efficiency of the administrative and financial functioning of the United Nations" (A/C.5/60/L.34).

5. At the same meeting, the representative of Nigeria and coordinator of the informal consultations orally revised the draft resolution by inserting the word "Establishing" before the words "Direct links" in operative paragraph 7 (c) and replacing operative paragraph 8 with the following text:

> "8. *Recalls* its resolutions 57/278 A of 20 December 2002 and 59/264 A, and notes that there will be a separate report on the independent external

evaluation of the auditing and oversight system within the United Nations, including the specialized agencies, as well as one on a comprehensive governance review;"

6. Also at the 46th meeting, the Committee adopted draft resolution A/C.5/60/L.34, as orally revised, without a vote (see para. 76).

## III. Recommendation of the Fifth Committee

7. The Fifth Committee recommends to the General Assembly the adoption of the following draft resolution:

### Review of the efficiency of the administrative and financial functioning of the United Nations

*The General Assembly*,

*Recalling* its resolutions 41/213 of 19 December 1986, 54/236 of 23 December 1999 and 59/264 A, 59/272 and 59/275 of 23 December 2004,

*Recalling also* the importance of accountability and transparency to the Organization,

*Having considered* the reports of the Secretary-General[1] and the related report of the Advisory Committee on Administrative and Budgetary Questions,[2]

**Measures to strengthen the accountability framework**

1. *Takes note* of the report of the Secretary-General on measures to strengthen accountability at the United Nations;[3]

2. *Also takes note* of the additional elements that are intended to strengthen the accountability framework, which would seem to be somewhat fragmented;

3. *Requests* the Secretary-General to further strengthen the current framework by establishing and ensuring an effective system of accountability that clearly defines the lines of authority and responsibility, as well as the respective roles of the individual elements of the framework, and efforts aimed at improving coordination between them in order to avoid duplication;

**Monitoring and evaluation/performance measures**

4. *Stresses* the importance of ensuring that programme managers objectively evaluate programme performance and, in this context, that the Office of Internal Oversight Services should validate self-evaluation and reporting on programme performance by managers;

5. *Requests* the Secretary-General to ensure that programme managers understand and respect the monitoring and evaluation functions performed by the oversight bodies;

6. *Notes* that the electronic performance appraisal system is the formal tool employed by the Secretariat in managing staff performance and that it represents an enhancement of the performance appraisal system;

7. *Decides* that staff performance assessment should be further enhanced in order to strengthen performance management to ensure the accountable

[1] A/60/312 and A/60/342.
[2] A/60/418.
[3] A/60/312.

implementation of legislative mandates, and in this regard calls upon the Secretary-General to improve performance management measures, including:

(a) A system that recognizes competence as an integral element of performance management and eventual career advancement;

(b) A comprehensive range of measures to address underperformance as well as incentives to encourage outstanding performance;

(c) Establishing direct links from performance to career advancement;

**Governance review**

8. *Recalls* its resolutions 57/278 A of 20 December 2002 and 59/264 A, and notes that there will be a separate report on the independent external evaluation of the auditing and oversight system within the United Nations, including the specialized agencies, as well as one on a comprehensive governance review;

9. *Notes* that the focus of the comprehensive governance review should be, inter alia, to clarify the roles and responsibilities of management with respect to supporting Member States, and emphasizes the intergovernmental nature of the Organization and its international character;

**Oversight bodies**

10. *Reaffirms* the importance of respecting the independent nature of the internal and external oversight structures of the United Nations, and recognizes that they are key governance partners;

11. *Recalls* its resolution 59/272 and, bearing in mind paragraph 4 of the report of the Advisory Committee on Administrative and Budgetary Questions,[2] decides to revert to the issue of the terms of reference and title of the high-level follow-up mechanism in the context of its consideration of the report on the independent external evaluation of the auditing and oversight system of the United Nations, including the specialized agencies;

12. *Stresses* the importance of ensuring the full implementation of the recommendations of the oversight bodies, and requests the Secretary-General to ensure that managers are held accountable for the delay or non-implementation of oversight recommendations;

**Fraud and corruption**

13. *Recalls* its resolution 59/264 A and the recommendations of the Board of Auditors concerning fraud and corruption, and requests the Secretary-General to report to the General Assembly on measures taken to implement the recommendations;

**Procurement**

14. *Also recalls* its resolutions 57/279 of 20 December 2002 and 59/288 of 13 April 2005, and requests the Secretary-General to continue efforts to make the procurement policies and practices of the United Nations system more transparent, efficient and effective;

**Enhancing transparency**

15. *Notes* the steps taken by the Secretary-General to institute greater transparency in the appointment of some senior-level positions, including through continued consideration of the applicants put forward by Member States, at the request of the Secretary-General;

**Ethics Office**

16. *Welcomes* the establishment of the Ethics Office, and notes the Secretary-General's bulletin thereon,[4] and in this respect:

(a) Urges the Secretary-General to finalize a system-wide code of ethics for all United Nations personnel, including personnel of the funds and programmes, at an early date;

(b) Requests the Secretary-General to administer and monitor more extensive disclosure of financial and other interests by United Nations officials in accordance with the amended Staff Regulations[5] and to ensure enhanced protection for those who reveal wrongdoing within the Organization;

(c) Endorses the main responsibilities of the Ethics Office as outlined by the Secretary-General in his report[6] and as established by the Secretary-General's bulletin;[4]

(d) Emphasizes that the administration of policy by the Ethics Office for protection of staff against retaliation when reporting misconduct should be carried out in close cooperation with the Office of Internal Oversight Services and the Office of Human Resources Management;

(e) Also emphasizes that training programmes to cover the range of ethics issues should be designed by the Ethics Office in coordination with the Office of Human Resources Management;

(f) Recognizes the need for incrementally requiring all pertinent staff, in particular those in high-risk areas, to provide relevant financial disclosure, and requests the Secretary-General to report thereon in the context of his report on the activities of the Ethics Office at its sixty-first session;

(g) Requests the Secretary-General to present a comprehensive review to the General Assembly at the main part of its sixty-second session, as recommended by the Advisory Committee on Administrative and Budgetary Questions in its report,[2] which should include, inter alia, his views on the possible establishment of a group of internationally representative experts to provide periodic, independent assessments of the Ethics Office for the consideration of the Assembly;

(h) Also requests the Secretary-General, in the context of the comprehensive review referred to above, to report on staff perception of the impact of the Ethics Office on improving ethics and integrity in the Organization;

(i) Further requests the Secretary-General to report on the activities of the Ethics Office and the implementation of ethics policies, in the context of his annual

[4] ST/SGB/2005/22.

[5] See ST/SGB/2006/4.

[6] A/60/568 and Corr.1 and 2.

report, for the consideration and decision of the General Assembly, as appropriate, under the agenda item relating to human resources management;

**Management practices**

17. *Takes note* of the report of the Secretary-General on the contribution made by the Department of Management to the improvement of management practices and the time-bound plan for the reduction of duplication, complexity and bureaucracy in the United Nations administrative processes and procedures;[7]

**Reporting requirement**

18. *Endorses* the recommendations of the Advisory Committee on Administrative and Budgetary Questions as contained in paragraph 12 of its report,[2] and requests the Secretary-General to report thereon to the General Assembly under the relevant agenda items as well as on an overview of the status of implementation of the provisions of the present resolution, as appropriate.

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[7] A/60/342.

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## Report of the Fifth Committee

*Rapporteur*: Ms. Katja **Pehrman** (Finland)

## I. Introduction

1. The previous recommendations made by the Fifth Committee to the General Assembly under agenda item 122 appear in the reports of the Committee contained in documents A/60/609 and Add.1.

2. The Fifth Committee resumed its consideration of the item at its 50th meeting, on 28 April 2006. Statements and observations made in the course of the Committee's consideration of the item are reflected in the relevant summary record (A/C.5/60/SR.50).

## II. Consideration of draft decision A/C.5/60/L.39

3. At its 50th meeting, on 28 April 2006, the Committee had before it a draft decision entitled "Questions deferred for future consideration" (A/C.5/60/L.39), submitted by the Chairman.

4. At the same meeting, the Committee adopted draft decision A/C.5/60/L.39 without a vote (see para. 5).

## III. Recommendation of the Fifth Committee

5. The Fifth Committee recommends to the General Assembly the adoption of the following draft decision:

06-33372 (E) 010506

## Questions deferred for future consideration

The General Assembly decides to defer to its sixty-first session consideration of the following documents under agenda items 124, 128, 131 and 133:

*Item 124*
*Programme budget for the biennium 2006-2007*

**Strengthened and unified security management system**

Report of the Secretary-General on a strengthened and unified security management system for the United Nations[1]

Report of the Secretary-General on the coverage of staff by the malicious acts insurance policy and on security spending by organizations of the United Nations system[2]

Report of the Office of Internal Oversight Services on the utilization and management of funds appropriated to strengthen the safety and security of the United Nations premises[3]

Tenth report of the Advisory Committee on Administrative and Budgetary Questions on coverage of staff by the malicious acts insurance policy and on security by organizations of the United Nations system[4]

Report of the Office of Internal Oversight Services on the global audit of field security management[5]

Note by the Secretariat on information and communication technology security, business continuity and disaster recovery[6]

Thirty-fourth report of the Advisory Committee on Administrative and Budgetary Questions on information and communication technology security, business continuity and disaster recovery[7]

Report of the Secretary-General on a strengthened and unified security management system for the United Nations: standardized access control[8]

Thirty-sixth report of the Advisory Committee on Administrative and Budgetary Questions on a strengthened and unified security management system for the United Nations: standardized access control[9]

[1] A/60/424
[2] A/60/317 and Corr.1.
[3] A/60/291 and Add.1.
[4] A/60/7/Add.9.
[5] A/59/702.
[6] A/60/677.
[7] A/60/7/Add.33.
[8] A/60/695.
[9] A/60/7/Add.35.

*Item 128*
*Scale of assessments for the apportionment of the expenses of the United Nations*

Report of the Committee on Contributions at its sixty-fifth session (6-24 June 2005)[10]

Report of the Secretary-General on the unpaid assessed contributions of the former Yugoslavia[11]

Letter dated 27 December 2001 from the Secretary-General addressed to the President of the General Assembly[12]

Note by the Secretary-General on the outstanding assessed contributions of the former Yugoslavia[13]

*Item 131*
*United Nations common system*

Report of the International Civil Service Commission for the year 2005[14]

Statement submitted by the Secretary-General on the administrative and financial implications of the decisions and recommendations contained in the report of the International Civil Service Commission for 2005[15]

Fourth report of the Advisory Committee on Administrative and Budgetary Questions on the administrative and financial implications of the decisions and recommendations contained in the report of the International Civil Service Commission for 2005[16]

Report of the Secretary-General on contractual arrangements[17]

Note by the Secretary-General on the Senior Management Network[18]

Report of the International Civil Service Commission for 2004[19]

Note by the Secretariat transmitting the report of the Panel on the Strengthening of the International Civil Service[20]

Note by the Secretary-General transmitting the report of the Panel on the Strengthening of the International Civil Service[21]

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[10] A/60/11.
[11] A/60/140 and Corr.1.
[12] A/56/767.
[13] A/58/189.
[14] A/60/30 and Corr.1.
[15] A/60/421 and Corr.1.
[16] A/60/7/Add.3.
[17] A/59/263/Add.1.
[18] A/60/209.
[19] A/59/30 (vol. II).
[20] A/59/153.
[21] A/59/399.

*Item 133*
*Administration of justice at the United Nations*

Report of the Secretary-General on the activities of the Ombudsman[22]

Report of the Secretary-General on the administration of justice in the Secretariat: outcome of the work of the Joint Appeals Board during 2003 and 2004; and statistics on the disposition of cases and the work of the Panel of Counsel[23]

Report of the Secretary-General on the practice of the Secretary-General in disciplinary matters and cases of criminal behaviour, 1 January 2004-30 June 2005[24]

Report of the Secretary-General on the administration of justice in the Secretariat[25]

Letter dated 14 October 2005 from the President of the General Assembly addressed to the Chairman of the Fifth Committee[26]

Second report of the Advisory Committee on Administrative and Budgetary Questions on the administration of justice in the Secretariat[27]

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[22] A/60/376.
[23] A/60/72 and Corr.1.
[24] A/60/315.
[25] A/59/883.
[26] A/C.5/60/10.
[27] A/60/7/Add.1.